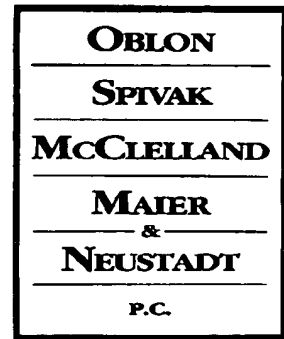


Rec'd PCT/PTO 10 MAR 2005

Claims

05/18/2005 11:56

March 10, 2005



ATTORNEYS AT LAW
KATHLEEN A. MORSBERGER
CONTROLLER
(703) 412-6494
KMORSBERGER@OBLON.COM

UNITED STATES PATENT AND TRADEMARK OFFICE
Box 16
Washington, DC 20231

Attn: Frank Lebron
Refund Department

Re: Deposit Account #150030

Dear Sir or Madame:

Enclosed is a copy of a portion of our Deposit Account Statement of February, 2005. Please review the highlighted charge on **Serial Number 10/524,145 in the amount of \$100.00 on fee code #1615.**

A preliminary amendment was filed with the new PCT application on February 11, 2005, which reduced the claim count from 27 to 25. An American Express charge form in the amount of \$1,150.00 was included with that filing. **The fee for 5 extra claims in the amount of \$250.00 was part of that payment.** Therefore, no additional claim fees are due.

Please investigate this charge and kindly refund \$100.00 to Deposit Account #150030. **Copies of the appropriate paperwork are attached.** If you have questions, please do not hesitate to contact me. My phone number is 703-412-6296.

Sincerely,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Debra J. Noel

Debra J. Noel
Accounting Department

05/18/2005 RWHITE1 00000002 10524145

01 FC:1615

150.00 OP

Enclosures

Adjustment date: 05/18/2005 RWHITE1
02/17/2005 GFREY1 00000098 150030 10524145
04 FC:1615 100.00 CR -150.00 OP

Adjustment Date: 05/18/2005 RWHITE1
02/17/2005 GFREY1 00000098 150030 10524145
04 FC:1615 100.00 CR -150.00 OP

\$ 11/15



**United States
Patent and
Trademark Office**

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Deposit Account Statement

Requested Statement Month:

February 2005

Deposit Account Number:

150030

Name:

NORMAN F. OBLON

Attention:

Address:

1940 DUKE STREET

City:

ALEXANDRIA

State:

VA

Zip:

22314

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
02/01	78	10503936	256748US6PCT	1201	\$2,000.00	\$16,574.71
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02/01	192	10880101	254622WO/TEP/FF	8007	\$20.00	\$16,204.71
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02/17 359	10524038	265770US26PCT	1633	\$100.00	\$24,547.71
02/17 363	10524039	264733US0PCT	1633	\$100.00	\$24,447.71
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02/18 1	10504855	258091US3PCT	1613	-\$920.00	\$25,117.71
02/18 2	10504855	258091US3PCT	1617	-\$130.00	\$25,247.71
02/18 14	10181435	225725US0PCT	2251	\$60.00	\$25,187.71
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02/22 5	10384701	234939US0	1806	-\$180.00	\$24,647.71
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02/22 87	09273197	500.714US1235144	1801	\$790.00	\$23,107.71
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02/24 152	10524666	265792US0PCT	1616	\$100.00	\$19,247.71

Due Date February 24,
2005

OSMM&N File No. 264624US0X PCT

By NFO/li/FF

Serial No. New U.S. PCT Application based on PCT/EP03/07335

In the matter of the Application of Volker HENNIGE, et al.
For ELECTRICAL SEPARATOR HAVING SHUTDOWN MECHANISM,
PRODUCTION THEREOF AND USE IN LITHIUM BATTERIES

The following has been received in the U.S. Patent Office on the date stamped hereon:

- 29 pgs. Specification 25 Claims (English Translation)
- Combined Declaration, Petition & Power of Attorney (4 pages)
- Application Data Sheet
- Notice of Priority
- Drawings (3 sheets)
- Dep. Acct. Order Form
- Credit Card Payment Form for \$1,150.00
- PCT Transmittal Letter
- Preliminary Amendment
- PCT/IB/304
- Information Disclosure Statement
- Cited References (5)
- International Search Report
- PCT/IB/308
- PTO-1449



SERIAL NO. _____

DATE RECEIVED _____

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02/17/2005 GFREY1 00000090 10524145

02 FC:1632

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Adjustment date: 05/18/2005 RWHITE1
02/17/2005 GFREY1 00000090 10524145

02 FC:1632

-500.00 OP

264624US0XPCT

**TRANSFERRAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.
PCT/EP03/07335INTERNATIONAL FILING DATE
8 July 2003PRIORITY DATE CLAIMED
24 August 2002

TITLE OF INVENTION

ELECTRICAL SEPARATOR HAVING SHUTDOWN MECHANISM, PRODUCTION THEREOF AND USE IN LITHIUM BATTERIES

APPLICANT(S) FOR DO/EO/US

Volker HENNIGE et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☐ Express Mail Label No.
23. ☒ Other items or information:

Application Data Sheet
Drawings (3 sheets)
PCT/IB/308
Reference Cited (5)Notice of Priority
PCT/IB/304
Form PTO-1449

U.S. APPLICATION NO (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/EP03/07335		ATTORNEY'S DOCKET NUMBER 264624US0XPCT	
24. The following fees are submitted:				Applicant use	Office use
<input checked="" type="checkbox"/> a) Basic national fee \$300.00				\$ \$300.00	
<input checked="" type="checkbox"/> b) Examination fee \$200.00				\$ \$200.00	
<input checked="" type="checkbox"/> c) Search fee \$400.00				\$ \$400.00	
TOTAL OF ABOVE CALCULATIONS = \$900.00				\$ \$900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)		RATE	
- 100 =	/50 =			x \$250.00	\$ \$0.00
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	25 - 20 =	5	x \$50.00	\$ \$250.00	
Independent claims	2 - 3 =	0	x \$200.00	\$ \$0.00	
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00				\$ \$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ \$1,150.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ \$0.00	
SUBTOTAL =				\$ \$1,150.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ \$0.00	
TOTAL NATIONAL FEE =				\$ \$1,150.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$ \$0.00	
TOTAL FEES ENCLOSED =				\$ \$1,150.00	
Amount to be refunded:					\$
Amount to be charged:					\$
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is _____					
c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>15-0030</u> . A duplicate copy of this sheet is enclosed.					
d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Customer Number 22850			SIGNATURE Norman F. Oblon		
			NAME 24,618		
			REGISTRATION NUMBER		

United States Patent & Trademark Office
Credit Card Payment Form

Credit Card Information	
Credit Card Type: <input checked="" type="checkbox"/> American Express	
Credit Card Account #: 3787-399692-31007	
Credit Card Expiration Date: December 31, 2005	
Name as it Appears on Credit Card: Tan, Liping	
Payment Amount: \$(US Dollars): 1,150.00	
Signature:	Date: February 11, 2005
Credit Card Billing Address	
Street Address 1: Oblon, Spivak, McClelland, Maier & Neustadt, P.C.	
Street Address 2: 1940 Duke Street	
City: Alexandria	
State: Virginia	Zip/Postal Code: 22314
Country: U.S.A.	
Daytime Phone #: (703) 413-3000 Accounting Dept.	Fax #: (703) 413-2220
Request and Payment Information	
Description of Request and Payment Information: New U.S. PCT application	
Application No.:	
Patent/Registration No.:	
Docket No.: 264624US	

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Form #: 29455

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Washington, D.C. 20231

5/18/05

REQUEST FOR PATENT FEE REFUND			
1 Date of Request: <u>3/10/05</u>		2 Serial/Patent # <u>10/524/45</u>	
3 Please refund the following fee(s):		4 PAPER NUMBER	5 DATE FILED
<input checked="" type="checkbox"/> Filing <u>Claim fees</u>			\$ <u>100</u>
<input type="checkbox"/> Amendment			\$
<input type="checkbox"/> Extension of Time			\$
<input type="checkbox"/> Notice of Appeal/Appeal			\$
<input type="checkbox"/> Petition			\$
<input type="checkbox"/> Issue			\$
<input type="checkbox"/> Cert of Correction/Terminal Disc.			\$
<input type="checkbox"/> Maintenance			\$
<input type="checkbox"/> Assignment			\$
<input type="checkbox"/> Other			\$
		7 TOTAL AMOUNT OF REFUND	
		\$ <u>100</u>	
		8 TO BE REFUNDED BY:	
		<input checked="" type="checkbox"/> Treasury Check <input checked="" type="checkbox"/> Credit Deposit A/C #: <u>15--0030</u>	
9 REASON:			
<input checked="" type="checkbox"/> Overpayment			
<input type="checkbox"/> Duplicate Payment			
<input type="checkbox"/> No Fee Due (Explanation):			
11 REFUND REQUESTED BY:			
TYPED/PRINTED NAME: <u>Rita White</u>		TITLE: <u>Legal Assistant Examiner</u>	
SIGNATURE: <u>Rita White</u>		PHONE: <u>7308-9140 ext 23</u>	
OFFICE: <u>DO/EO</u>			
***** THIS SPACE RESERVED FOR FINANCE USE ONLY: *****			
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Instructions for completion of this form appear on the back. After completion, attach white and yellow copies to the official file and mail or hand-carry to: